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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant: Lee Angros**

**Dkt. No.: 233.032**

**Serial No.: Not Yet Assigned**

**Art Unit: 1743**

**Filed: Herewith**

**Examiner: L. Alexander**

**For: ANALYTIC PLATE WITH CONTAINMENT BORDER  
AND METHOD**

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**INFORMATION DISCLOSURE STATEMENT**

The following sections are being submitted for this Information Disclosure Statement:

1. ☒ Preliminary Statements
2. ☒ FORM PTO-1449 (Modified)
3. ☒ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
4. ☒ Copies of Listed Information Items Accompanying this Statement
5. ☒ Identification of Person(s) Making this Information Disclosure Statement

**Section 1. Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

**Section 2. Form PTO-1449 (Modified)**

- ☒ A completed Form 1449 (Modified) is attached hereto.
- ☐ No Form 1449 (Modified) is attached.

**Section 3. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted**

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. 10/255,412, filed on August 20, 2002 (date).

(complete the following, if applicable)

- ☐ This application also relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ (date).

The references that were submitted to, and/or cited by, the Office in the prior application(s) are not required to be provided in this application; however, the prior art references listed below were not cited previously in the prior application(s) and copies are provided herewith:

U.S. Patents: 5,853,894; 5,948,685; 6,033,738; 6,037,168; 6,143,496; 6,372,507; 6,555,384.

**Section 4. Copies of Listed Information Items Accompanying this Statement**

Legible copies of all items listed in Form PTO-1449 (Modified) accompany this information disclosure statement.

- ☒ Exception(s) to above:
- ☒ Items in prior application from which an earlier filing date is claimed for this application, as identified in Section 3.

**Section 5. Identification of Person(s) Making this INFORMATION DISCLOSURE STATEMENT**

The person making this statement is the attorney who signs below on the basis of the information:

- [X] supplied by the inventor(s)
- [ ] supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)).
- [X] in the attorney's file

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Christopher W. Corbett", written over a horizontal line.

Christopher W. Corbett, Ph.D.  
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Date Deposited: 03/22/2004

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Lee Angros
Group Art Unit	1743
Examiner Name	L. Alexander
Attorney Docket Number	233.032

(use as many sheets as necessary)

### U. S. PATENT DOCUMENTS

EXAM INIT.	Cite No. 1	U.S. PATENT NUMBER Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		3482898		Van Den Bosch	12/09/1969	
		3579540		Ohlhausen	05/18/1971	
		4447140		Campbell	05/08/1984	
		4481246		Melisz et al.	11/06/1984	
		5485527		Bacus et al.	01/16/1996	
		5853894		Brown	12/29/1998	
		5948685		Angros	09/1999	
		6033738		Teranishi et al.	03/07/2000	
		6037168		Brown	03/14/2000	
		6143496		Brown et al.	11/07/2000	
		6372507		Angros	04/2002	
		6555384		Angros	04/2003	

### FOREIGN PATENT DOCUMENTS

EXAM INIT.	Cite No. 1	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office 3	Number 4	Kind Code <sup>5</sup> (if known)			
			5062958		Japan	09/06/1994	

**U.S. and Foreign:** <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>Form Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

EXAM INIT.		<p style="text-align: center;"><b>NON PATENT DOCUMENTS</b></p> <p style="text-align: center;">Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published</p>
		"Introducing Lab-Tek II - The Next Generation" Brochure, Nalge Nunc International Naperville, IL. Aug. 3, 1996.
		Erie Scientific Brochure, Erie Scientific Company, Portsmouth, NH. (Applicant admits that this brochure was published at least one year prior to the filing of the present application submitted herewith).
		"ADCELL - The Next Generation In Printed Diagnostic Slides" Brochure, Erie Scientific Company, Portsmouth, NH. (Applicant admits that this brochure was published at least one year prior to the filing of the present application submitted herewith).
		"Our Emphasis On Quality Comes From Knowing Our Work Goes Under A Microscope" Brochure, Erie Scientific Company, Portsmouth, NH. (Applicant admits that this brochure was published at least one year prior to the filing of the present application submitted herewith).
		"Compatibility of Chamber Slide Components With Various Fixation Reagents", <u>Tech Note</u> , Vol. 3, No. 20, Nalge Nunc International, Naperville, IL. (Applicant admits that this brochure was published at least one year prior to the filing of the present application submitted herewith).
		"Compatibility of Various Mounting Media On Permanox Slides", <u>Tech Note</u> , Nunc, Inc., Naperville, IL. (Applicant admits that this brochure was published at least one year prior to the filing of the present application submitted herewith).
		"Incubation Chambers For Cell Analysis" Brochure, Lab Vision Corp., Fremont, CA. (Applicant admits that this brochure was published at least one year prior to the filing of the present application submitted herewith).
		"The Next Generation! Lab-Tek II Chamber Slide System" Brochure, Nalge Nunc international, Naperville, IL. 1998.
		"Unelko Corporation Material Safety Data Sheet", Unelko Corporation, Scottsdale, AZ, 07/01/92.
		"United Chemical Technologies Information Brochure", United Chemical Technologies, Inc., 1996.
		Sigmacote® Brochure, Sigma Chemical Company, P.O. Box 14508, St. Louis, MO 63178, 3 pages, 04/28/97.
		Isolator™ Hydrophobic Marker from Shandon Lipshaw Catalog, one page, available at least one year prior to 08/06/99.
		Kiyota™ Express-PAP PEN Brochure, Kiyota International, Inc. 1940 E. Devon Ave., Elk Grove Village, IL 60007, two pages, available at least one year prior to 08/06/99.

EXAM INIT.		<p align="center"><b>NON PATENT DOCUMENTS</b></p> <p>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published</p>
		ImmEdge™ Pen Brochure, Vector Labs, Inc., vector@vectorlabs.com, two pages, available at least one year prior to 08/06/99.
		Kiyota™ Liquid Blocker [Super PAP PEN], Kiyota International, Inc., 1940 E. Devon Ave., Elk Grove Village, IL 60007, two pages, available at least one year prior to 08/20/2001.
<b>Non Patent Documents:</b> <sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.		
<b>Examiner Signature:</b>		<b>Date Considered:</b>
<b>EXAMINER: Initial if citation considered, whether or not citation is in conformance and not considered. Include copy of this form with next communication to applicant. **Place of Publication refers to name of publication in which the information was published.</b>		